

Contractor: All Classifications

AITLICANT INFORMATION						
Contractor Busine	ss Name:	late: If you are a Solo	Proprietor this is your full local r	nome.		
*Note: If you are a Sole Proprietor, this is your full legal name. DBA(s) if applicable:						
DBA Regis	DBA Registration Number(s):					
Utah Division	of Corpora	ations Registr	ration Number:			
IRS Employee	ID Numb	er (EIN):				
Address:						
City:			State:	Zip:		
Phone: ()		Email:	on notices and communication will be s	ent to this email.	
Contact Person:	First			Last		
Phone: (Lasi		
		AFFIDA	AVIT AND RELEAS	SE		
I understand that in all areas of this application the words "you", "I" and "applicant" apply to the entity listed above and <i>all</i> subsidiaries, owners, officers, managers, qualifiers and prior entities for which these individuals have been involved.						
I certify that to the best of my knowledge, the information contained in the application and all supporting document(s) are true and correct, and discloses all material facts regarding the applicant, and that I will update or correct the application as necessary, prior to any action on my application.						
set forth directly or b Utah, any files, reco	y reference rds, or info	e in this applica rmation of any t	tion, to release to the D	others not specifically listed Department of Commerce, S and for the Department to pro E State of Utah.	tate of	
I understand that it is the continuing responsibility of applicants and licensees to read, understand, and apply the requirements contained in all statutes and rules pertaining to the occupation or profession for which I am applying, and that failure to do so may result in civil, administrative, or criminal sanctions.						
I understand that I a application/license/c			he Department of any o	hanges relating to my		
I understand that if t result in a denial.	he applicat	ion is not comp	lete at the time of subm	nission, it will delay approva	and could	
I declare under c	riminal pe	enalty under t	he law of Utah that th	nis application is true an	d correct.	
Authorized Signatu	ıre:			Date:		
Printed Name:			Title/Position:			



PRIVACY NOTICE

The information you provide on this form will be used to determine your eligibility for a license, registration, or certification in Utah. Failure to provide complete information as requested will result in the denial of your request as incomplete.

Information provided in this form is retained in accordance with state record retention laws. For specific information about the records retention for this form, please visit https://dopl.utah.gov/records

To comply with legal and regulatory requirements, we may share limited information about your license, registration, or certification with authorized parties. This may include government agencies, national databases, and contracted vendors. Shared information may include issue date, status, expiration date, disciplinary actions, and your name or other direct identifiers.

We may also share aggregated and de-identified data (e.g., education levels, exam pass rates, length of licensure, etc.) with relevant stakeholders for data analysis and reporting purposes.

ACKNOWLEDGEMENT:			
Your signature acknowledges receipt of this information.			
Authorized Signature:	_ Date:		
Legal Business Name:			



QUALIFYING OUESTIONNAIRE Do not leave any question blank. DOPL may request additional documentation if the information submitted is insufficient. Have you EVER had a license, certificate, permit, or registration to practice a regulated profession denied, conditioned, curtailed, limited, restricted, 1. ☐ Yes ☐ No suspended, revoked, reprimanded, resigned, or surrendered while under investigation, or otherwise disciplined in any way? 2. ☐ Yes ☐ No Do you CURRENTLY have any criminal action active or pending? WITHIN THE PAST 10 YEARS, have you pled guilty to, no contest to, entered 3. ☐ Yes ☐ No into a plea in abeyance, or been convicted of a misdemeanor in any jurisdiction? Have you EVER pled guilty to, no contest to, entered into a plea in 4. ☐ Yes ☐ No abeyance, or been convicted of a felony in any jurisdiction?

If you answered "Yes" to any of the above questions, enclose with this application complete information with respect to all circumstances and the final result, if such has been reached. If you answered "Yes" to questions 2, 3, or 4 you must submit the following for EACH and EVERY incident:

personal account of the incident

court record(s)

police report(s)

probation/parole officer report(s)

If you are unable to obtain any of the records required above, you must submit documentation on official letterhead from the police department and/or court indicating that the information is no longer available.

Please **DISCLOSE** the following:

- charges that were later held in abeyance (plea in abeyance), diverted, reduced, or dismissed.
- motor vehicle offenses such as driving while impaired or intoxicated.
- if you are restricted from possession, purchase, transfer, or ownership of a firearm or ammunition (even if your restriction is based on a non-reportable juvenile conviction).

You do NOT need to disclose:

- minor traffic offenses such as parking or speeding violations.
- juvenile offenses, unless you were tried as an adult.
- legally expunged or sealed criminal history incidents.

For more information, see DOPL's criminal history FAQs.

APPLICATION BY ENDORSEMENT

If you are currently licensed as a Contractor in a state, territory, or district of the United States you may qualify for licensure by endorsement. Please visit the **DOPL** website for additional information.

To verify the company's identity, the applicant must demonstrate that at least 50% ownership proposed for the

	he same as the license held in the jurisdiction being used for endorsement. Additionally, no more company ownership may have changed within the last year.			
☐ Yes ☐ No	Have you held a license in a state, district, or territory of the United States (outside of Utah) with a similar scope of practice to the license you are applying for at this time for at least one year?			
□ Yes □ No	Is your license active and in good standing?			
In which state or to	erritory are you licensed?			
I ICENSE VEDICICATION				

Most states participate in ONLINE verification of licenses issued in their jurisdiction. DOPL will accept an online verification, as long as it: is available on the official state website, shows if a license is active in good standing, and discloses if there is any past disciplinary action. If your state meets DOPL's online verification requirements, please provide the website URL to the verification site:

If your state does not meet online verification requirements, you will need to request that your jurisdiction send it by email to b4@utah.gov or mailed to DOPL's mailing address listed below.

If you qualify for licensure by endorsement, you will not need additional courses or examinations.



BUSINESS ORGANIZATION				
Business Name: DBA:				
EIN: Business Registration Number:				
Please select entity type:				
 Business Trust Corporation General Partnership Limited Liability Company Limited Partnership Limited Liability Partnership Other 	Sole Proprietorship For Sole Proprietorships, please include all of the owner's information on page 5.			
☐ Yes ☐ No Is the business entity identified above owned in whole or in part by a business entity (parent company)?				
☐ Yes ☐ No ☐ Is the business entity identified above	ve owned in whole or in part by a trust?			
FINANCIAL RI	ESPONSIBILITY			
☐ Yes ☐ No Within the last eight years, has the: applicant, proposed qualifier, owner, director, governor, officer, manager, member, partner, or any prior entities for which these individuals have been involved, had any judgments, liens, tax liens, or child support delinquencies levied against them?				
☐ Yes ☐ No Within the last seven years, has the: applicant, proposed qualifier, owner, director, governor, officer, manager, member, partner, or any prior entities for which these individuals have been involved, filed for bankruptcy?				
If you answered YES to any question above, please su evidence of payment in full or that you are currently in a				
Note: Prior to issuing the license, a bond may be required. Information about bond criteria and amounts is in the statute and rules available on <u>our website</u> or See <u>Utah Code § 58-55-306</u> and <u>Utah Admin Rule R156-55a-602</u>				
EMPL	OYEES			
Please select ONE:				
☐ The applicant HAS EMPLOYEES or OWNER YOU MUST SUBMIT the following:	-WORKERS HOLDING LESS THAN 8% OWNERSHIP.			
 Workers' Compensation Certificate. ~AND~ Workforce Services Unemployment In 	nsurance Registration No.:			
~AND~○ *Utah State Tax Commission Withhold	ing Tax Account No.:			
submit your Employer Withholding Ex provide the state tax withholding regis	doing business in Utah for 60 days or less, remption from the <u>Utah Tax Commission</u> and stration number from the state where your s not have withholding tax, please submit a			
~OR~○ Signed contract with an approved Pro	fessional Employer Organization (PEO).			
	S and DOES NOT INTEND TO HIRE EMPLOYEES*. <u>viver</u> from the Utah Labor Commission. policant must notify the Division in writing			



BUSINESS OWNERSHIP AND CONTROL

Provide information for the one or more individuals who hold an ownership interest in the applicant, the business entity identified in this application. You must identify ALL owners that work in the construction trades. Duplicate this page as needed.

Business Name:	DBA: (if applie	cable)
Full Legal Name:	Middle	Last
		irth: Gender: □ Male □ Female
		State:Zip:
		Percent of Ownership:
Please select one:		
☐ I am a United States citizen or a☐ I am a foreign national not physic☐ None of the above, please expla	cally present in the United States.	
Driver License or State ID Card:	License Number	
	ver License or a US State ID, you	must present a legible copy of your current and awful presence in the United States.
List all other licenses, registrations any profession. (Use additional she		te which this owner now holds or has ever held in
Profession:		License Number:
		Issue Date:
Will this owner work in the constr		5 110
Full Legal Name:		Last
SSN:* * If you don't have a social security number, please	Date of B follow the instructions on the last page.	irth: Gender: □ Male □ Female
Address:	City:	State:Zip:
Phone: ()	Email:	Percent of Ownership:
Please select one:		
☐ I am a United States citizen or a no☐ I am a foreign national not physica☐ None of the above, please explain	lly present in the United States.	o is lawfully present.
Driver License or State ID Card:	License Number	Evaluation Data
	ver License or a US State ID, you	must present a legible copy of your current and awful presence in the United States.
List all other licenses, registrations any profession. (Use additional she		te which this owner now holds or has ever held in
		License Number:
Issuing State:	License Status:	Issue Date:
Will this owner work in the const	ruction trade?	s 🗆 No



GENERAL LIABILITY INSURANCE

All contractors are required to maintain active general liability insurance with the Utah Division of Professional Licensing listed as the certificate holder. Please provide the following information regarding your current policy. Policy Number: Policy Amount Each Occurrence: \$ Policy Amount Total (Aggregate): \$ Insurer Affording Coverage: Insurance Producer Name: Address: _____ City: ____ Zip: ____ Zip: ____ Phone: () – Email: Please submit a copy of your current, active General Liability Insurance Certificate with DOPL's name and address (listed below) as a certificate holder. Minimum coverage is \$100,000 for each incident and \$300,000 in total and must cover all your scope of work for the license, for the entire duration of active licensure in compliance with Utah Admin. Rule R156-55a-302d. DOPL 160 E 300 S PO Box 146741 Salt Lake City, Utah 84114 **CONSTRUCTION BUSINESS REGISTRY (Optional)** If you would like to provide contact information for the Construction Business Registry (CBR), please enter the contact information you would like to provide to the PUBLIC for the CBR. Please make sure all contact information is correct and up to date. Please ONLY provide below, the information that you want publicly available on the Construction Business Registry: _____ State: _____ Zip: _____ Phone: (_____) ____ – ____ Email: I understand by providing information above, I opt-in to provide my contact information to the public for the Construction Business Registry (CBR) at my own risk. I certify that the information provided above is true and correct, and I understand that I am responsible to update the Division of any changes relating to my license/certification/registration. I understand that I can opt-in or opt-out of providing my contact information for the CBR at any time, and I can update my contact information at any time. See Utah Code § 58-55-702. Note: Non-protected license/registration information will automatically be included on DOPL's online verification website. THIRD – PARTY DISCLOSURE AUTHORIZATION (Optional) To authorize DOPL to speak with someone outside your company about this application, complete this authorization. I hereby authorize the Division to communicate with ("Third Party") concerning this application, any information submitted with or missing from this application and authorize and consent to the disclosure to the Third Party of any of the contents, information, communications, and material in this application or related to this application. I certify that I am authorized to sign on behalf of the applicant. I declare under criminal penalty under the law of Utah that the foregoing is true and correct. Authorized Signer: Printed Name: ____ Title ___ Knowingly submitting a false statement is a Class B Misdemeanor under Utah Code § 76-8-504.



ASSOCIATED CLASSIFICATIONS

Utah licenses contractors by "classification", which identifies the work you can do. Some classifications require exams, experience, certifications, or that the qualifier hold specific individual licenses. All qualifications must be met before applying.

The Scopes of Practice for Trade Classifications can be found on the **DOPL Contactor website**.

General Classifications: (Select All That Apply)	Specialty Trade Classifications: You may select up to three (3) from the list below.				
□ E-100: General Engineering □ B-100: General Building □ R-100: Residential & Small Commercial □ E-200: General Electrical * □ E-201: Residential Electrical * □ P-200: General Plumbing * □ P-201: Residential Plumbing * *Electrical and plumbing classifications require the qualifier to hold the trade's Master License.	R-101 Residential/Small Commercial Non- Structural Remodel/Repair may NOT have any other specialty classification.				
SPECIALTY TRADE	CLASSIFICATIONS				
B200 Modular Unit Installation Contractor					
R101 Residential/Small Commercial Non-Structural Remo	odel/Repair				
Solar Photovoltaic Contractor S220 Carpentry & Flooring Contractor S230 Masonry, Siding, Stucco, Glass, and Rain Gutter Contractor S260 Asphalt & Concrete Contractor S270 Drywall, Paint, and Plastering Contractor S280 Roofing Contractor S280 Roofing Contractor S280 Roofing Contractor S280 Foundation, Excavation, and Demolition Contractor S380 HVAC Contractor S354 Radon Mitigation S370 Fire Suppression Systems Contractor S410 Boiler, Pipeline, Wastewater, and Wate Conditioner Contractor S440 Sign Installation Contractor S510 Elevator Contractor S700 Limited Scope Contractor³ Limited Scope Contractor³					
Some specialty classifications have additional requirements. Provide the following if you are applying for the specialty classification(s) identified.					
1S370: Fire Suppression Systems: □ Requires applicant to hold a B100 - General Building Contractor license. ~ OR ~ ○ Complete a Department of Labor federally approved apprentice training program or demonstrate two years of experience under the immediate supervision of a licensee who has obtained a certification in fire sprinkler fitting; and pass the STAR Fire Sprinkler fitting Mastery examination offered by the National Inspection Testing and Certification Corporation (NITC) or an equivalent examination approved by the Division. 2S510: Elevator Contractor: Provide qualifier's active Utah Elevator Mechanic license number.					
Utah license number:					
³ S700: Limited Scope: Submit a detailed written explanation of the requested scope of practice.					



Qualifier Affidavit

Please have each Qualifier complete a separate affidavit. Duplicate pages 8, 9, & 10 as necessary

CONTRACTOR BUSINESS INFORMATION				
Contractor Business Name:				
Contractor EIN:				
Contractor License Number: (if available)				
QUALIFIER INFORMATION	N			
Full Legal Name:				
	Last			
All Previous Legal Names:				
SSN:* Date of Birth: * If you don't have a social security number, please follow the instructions on the last page.	Gender: ☐ Male ☐ Female			
Address:				
Street Address (including Apt/Unit/Ste #) and/or PO Box				
City:State:	Zip:			
Phone: () = Email:				
Thone. () = Email.				
If required for classification, DOPL Professional License* numb *Electrical and plumbing classifications require the qualifier to ho Elevator classification requires the qualifier to hold an Elevator Me	ld the trade's Master License.			
Select Qualifier's Business Entity Position: ☐ Owner of at least 20% OR ☐ W-2 Employee in	n Management Position			
Please Select ONE: ☐ I am a United States citizen OR a non-citizen of the United States who is lawfully present. ☐ I am a foreign national not physically present in the United States. ☐ None of the above, please explain:				
Driver's License or State ID Card: State of Issue Driver License Numb				
State of Issue Driver License Number Expiration Date NOTE: If you do not hold a US Driver's License or a US State ID, you must present a legible copy of your current and valid government issued document(s) showing evidence of lawful presence in the United States. Please upload a legible copy (back and front) of ONE of the following documents:				
 Unexpired United States Passport I I-94 (Arrival/Departure Record) I-551 (Permanent Resident Card, a.k.a. Green Card) I-766 (Employment Authorization Card) I-327 (Reentry Permit) I-571 (Refugee Travel Document) Certificate of Citizenship Naturalization Certificate Machine Readable Immigrant Visa (with Temporary I-551 Language) Temporary I-551 Stamp (on passport or I-94) Unexpired Foreign Passport with SEVIS ID (either an I-20 or DS2019) WT/WB Admission Stamp in Unexpired Foreign Passport I-20 (Certificate of Eligibility for Nonimmigrant (F-1) Student Status) 	If you do not have any of these documents, please contact the United States Citizenship and Immigration Services (USCIS) at their website: www.uscis.gov or by phone 1-800-375-5283. Walk-Ins are not accepted.			

• DS2019 (Certificate of Eligibility for Exchange Visitor (J-1) Status)



Qualifier Affidavit

QUALIFIER ACCEPTANCE & CERTIFICATION

Initial each numbered line and sign to accept appointment as Qualifier for the applicant

To be completed by the proposed qualifier:

contra	ractor and to certify, acknowledge, and agree that:			
1.	I. I have read and understand my responsibilities as a contractor's quutan Administrative Code § R156-55a. As required by Utah Code § exercise material authority in the conduct of the contracting business	\$ 58-55-304 (4), I will always		
2.	 As qualifier, it is my responsibility to make sure both myself and the laws and rules. Violation may result in civil, administrative, or crimir contractor's owners, and the contractor. 			
3.	3. I am qualified to serve as the contractor's qualifier, for the license(s) applied for.		
4.	I. The applicant has general liability insurance as required by Utah law scope of work. While I am the qualifier:	v, which covers ALL contracting		
	a. I will make sure our required general liability insurance is in effectiven licensure;	ect for the entire duration of active		
	b. I will always keep a copy of all general liability insurance certific address of the insurance company, name and address of the ir date, and policy limits.			
5.	 If the contractor ever has employees, or owner-workers with less th the contractor has workers' compensation insurance as required by of this information. 			
6.	6. I understand the Division may request records and information anyt	ime to determine compliance.		
7.	7. I am responsible to update the Division of any changes related to the and my qualifications as a qualifier, including but not limited to: my notifying the Division if I cease association with the contractor.			
	e under criminal penalty under the law of Utah that the ng in any additional pages and attachments, is true and			
Signature	re of Qualifier:	Date:		
Printed Name:				



Qualifier Affidavit

Utah licenses contractors by "classification", which identifies the work you can do. The Scope of Practice for each Trade Classification can be found in <u>Utah Admin Rule R156-55a-301</u>.

Some classifications require exams, experience, certifications, or that the qualifier hold specific individual licenses. All qualifications for the classifications selected in this application must be met before applying.

For each section below, select the option that meets your specific circumstances. Approved Pre-Licensure Course providers are listed at https://dopl.utah.gov/contracting/websites.

	PRELICENSURE COURSE – ALL CLASSIFICATIONS
Pleas select	se choose ONE (1) of the following to fulfill the Pre-Licensure Course requirement. Along with your tion, provide copies of your course certificate or transcripts as necessary.
	Pre-licensure Course (25-hour pre-licensure course minimum plus 5-hour Business and Law Course if applying for General or Primary Classification)
	Current qualifier on an active and unrestricted Utah contractor license—license number
	Qualifier holds accredited 2 or 4-year degree in Construction Management. Submit official school transcripts
	Qualifier holds an active and unrestricted Utah professional engineer license—license number
	Within the last 5 years the qualifier is or has been a qualifier on an active and unrestricted Utah contractor license. Utah contractor license number:
	Applying by Endorsement (endorsement requirements.) Submit official verification of licensure from the jurisdiction's licensing authority.
	GENERAL CLASSIFICATIONS ONLY - EXAM
Class	ral Classification qualifier must have passed the required exam. If you are only applying for Specialty iffications, you do not need to complete this section. Select ONE (1) of the following and provide the opriate documentation.
	Pass the Utah Contractor Business and Law exam. See the <u>exam section</u> on the contractor page of our website for additional information. Date exam taken:
	Previously identified as a qualifier on any Utah contractor license PRIOR TO May 9, 2017. Utah license number:
	Previously identified as a General Classification Qualifier on a Utah contractor license at any time. Utah license number:
	Applying by Endorsement (endorsement requirements.) Submit official verification of licensure from the jurisdiction's licensing authority.
	GENERAL CLASSIFICATIONS ONLY - EXPERIENCE
standa	ral Classification qualifier must have at least TWO years of paid experience in the construction industry or meet ards of <u>licensure by endorsement</u> . Select ONE of the following and provide the appropriate documentation. Do emplete this section if you are applying for Specialty Classifications, ONLY.
	Self-certification of experience: By selecting this option and signing below, I certify I have at least 4,000 hours of paid work experience in the construction industry AND have knowledge of the principles of the conduct of business as a contractor reasonably necessary for the protection of the public health, safety, and welfare. Signature of Qualifier: Date:
	Previously identified as a qualifier, for at least TWO years, on any Utah contractor license at any time. Utah license number:
	Qualifier holds an accredited 2 or 4-year degree in Construction Management. Submit official school transcripts.
	Qualifier holds an active and unrestricted Utah professional engineer license.
	Utah professional engineer license number:
	Qualifier has passed the NASCLA Examination for Commercial General Building Contractors.
	Date of exam:
	Applying by Endorsement (endorsement requirements.) Submit official verification of licensure from the jurisdiction's licensing authority.



APPLICATION CHECKLIST AND INSTRUCTIONS

NOTE: Incomplete applications will be denied.

Your application is classified as a public record and may be available for inspection by the public, except with regard to the release of information, which is sub-classified as controlled, private, or protected under the Government Records Access and Management Act or restricted by other law.

If you do not have a valid Social Security number, you must submit your Individual Taxpayer Identification Number (ITIN), Alien Registration Number (A-number), or a copy of an unexpired government issued passport from your country of residence and an intent-to-hire letter from a Utah based employer (<u>Utah Admin. Code R156-1-301</u>). Submission of the above documents may require additional documents to demonstrate lawful presence (<u>Utah Code § 63G-12-402 (3)(k)</u>).

ALL APPLICANTS

The following items are required to complete you Non-refundable application processing fee	e made payable to "D			
Please use the following table to determ		•		
Electronic Reference		_ // \		1.00
			_	
Add amounts for Qualifier , Classification , and Surc i		_		ith the application
*Electronic Reference Library Surcharge: The access to an electronic reference library with well To request access once your license is issued, p	Division must collect obbased access to na	a surcharge on tional, state, ar	each a	pplication to provide licensees
Supporting documentation for any "yes" a Responsibility Questionnaire (page 3).	nswers provided on t	ne Qualifying C	(uestion	naire (page 3), or the Financial
Documentation of meeting the Prelicensul				
If the applicant entity is owned in whole or				
If the applicant entity is owned in whole or ownership, including a diagram, if owners	hip is layered.			· ·
A complete list of all Owners, Officers, & D	•		compar	nies, and any subsidiary companies
Supporting documentation for the employ	(,)			
General liability insurance certificate (page of the applicant entity is unincorporated, and the applicant entity is unincorporated, and the applicant entity is unincorporated.	,	oro ownoro ou	uning lo	so than 90/ complete Appendix E
available on the contractor page of DOPL Submit an additional \$20 registration for	's website under "add	itional forms".	_	
GENERAL CLASS In addition to the items required for all applicants	SIFICATION INITIAL , submit:	LICENSE APP	PLICAN	<u>TS</u>
☐ Please submit a copy of your certificate of B100, R100, E200, E201, P200, or P201 Note: If you took the course before May licensure course will suffice ☐ Documentation of meeting the Utah Busin	classification, you mu 9, 2017, a copy of yo	st also comple our certificate	te the 5	-hour Business & Law Course.
	NTS APPLYING BY			
If you are currently licensed as a Contractor in a jur of experience with that license, you may apply for li				
In addition to the items required for all applicants ownership information. At least 50% of the owner the license used for endorsement. Additionally, r	ership proposed for th	e Utah license	must be	e the same as the ownership of
Applicants with foreign education can find details	at: https://dopl.utah	gov/internation	<u>ally-trai</u>	ned-applicant-information/
Return completed application to: In person or via express delivery: Division of Professional Licensing 160 E 300 S Salt Lake City, UT 84111	US	Postal Service Division of Pr PO BOX 146 Salt Lake Cit	ofessio 741	nal Licensing 4114-6741
If you have questions, please send them to our e	mail address, <u>b4@ut</u> ations are not accep		ne phon	e number listed below.

Department of Commerce • Division of Professional Licensing (DOPL)

Heber M. Wells Building • 160 East 300 South • P.O. Box 146741 Salt Lake City, UT 84114-6741

www.dopl.utah.gov • telephone (801) 530-6628 • toll-free in Utah (866) 275-3675 • fax (801) 530-6511 v20250716